

## Online Submission of FATCA Self-Certification

Subscribers registered on or after July 1, 2014 are mandatorily required to submit FATCA Self-certification

Please follow the steps given below for online Self-Certification:

- Log-in to your NPS account (please visit [www.cra-nsdl.com](http://www.cra-nsdl.com))
- Click on sub menu "FATCA Self-Certification" under the main menu "Transaction"
- Submit the required details under "FATCA/CRS Declaration Form"
- Click on "Submit"
- You are requested to read and tick "Declaration & Authorization by all customers"
- Click on "Confirm"
- Enter OTP received on your registered mobile number.
- After Authentication through OTP, Acknowledgment for the completion of FATCA Self-certification will be displayed.

You are requested to provide to Online Self-certification even if you have submitted /sent the physical Self-Certification form to CRA.

Kindly note, you are required to submit physical FATCA Self-certification form to your Nodal Office or CRA if your Birth Place, Citizenship and Residence for the Tax Purposes is other than India or you are an US person. The format of the self-certification is provided in below link.

### FATCA Self Declaration Format

The form is required to be submitted to Central Recordkeeping Agency (CRA) for NPS at the following address:

NSDL e-Governance Infrastructure Limited,  
1st Floor, Times Tower, Kamala Mills Compound, Senapati Bapat Marg,  
Lower Parel, Mumbai – 400 013

In case you require any clarification or assistance with respect to the above, please contact Ms. Ranjana Chavan / Ms. Mamta Jadhav at 022-40904242.

Please mention "Self-Certification - FATCA/CRS Declaration Form" on top of the envelope

*HMC Website*  
*Liya 28/7/17*

## Self-Certification for Individual - FATCA/CRS Declaration Form

**Name of Subscriber:**

**Permanent Retirement Account Number (PRAN):**

**Date of Birth:**

FATCA/CRS Declaration Form	
Part I- Please fill in the country for each of the following:	
1	Country of:
a)	Birth
b)	Citizenship
c)	Residence for Tax Purposes
2	US Person (Yes / No)
Part II- Please note:	
a. If in all fields above, the country mentioned by you is India and if you do not have US person status, please proceed to <b>Part III</b> for signature.	
b. if for any of the above field, the country mentioned by you is not India and/or if your US person status is Yes, please provide the Tax Payer Identification Number (TIN) or functional equivalent as issued in the specific country in the table below:	
i)	TIN
	Country of Issue
ii)	TIN
	Country of Issue
iii)	TIN
	Country of Issue
a. In case any of the parameters in <b>Part I</b> indicates that you are a US person or a person resident outside of India for tax purpose and you do not have Taxpayer Identification Numbers/functional equivalent, please complete and sign the Self-Certification section given in <b>Part IV</b> .	

b. In case you are declaring US person status as 'No' but your Country of Birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reasons for not having relinquishment certificate

\_\_\_\_\_

Please also fill **Part IV** Self-Certification.

**Part III- Customer Declaration (Applicable for all customers)**

(i) Under penalty of perjury, I/we certify that:

1. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. **(This clause is applicable only if the account holder is identified as a US person)**
2. The applicant is an applicant taxable as a tax resident under the laws of country outside India. **(This clause is applicable only if the account holder Is a tax resident outside of India)**

(ii) I/We understand that the NPS Trust is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. The NPS Trust is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.

(iii) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.

(iv) I/We agree that as may be required by domestic regulators/tax authorities the NPS Trust may also be required to report, reportable details to CDBT or close or suspend my account.

(v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

(vi) I/We permit/authorise NPS Trust to collect, store, communicate and process information relating to the Account and all transactions therein, by NPS Trust and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.

(vii) I / We hereby accept and acknowledge that NPS Trust shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me / us to NPS Trust.

(viii) I/We shall indemnify NPS Trust for any loss that may arise to NPS Trust on account of providing incorrect or incomplete information.

Signature :	
Name :	
Date (DD/MM/YYYY) :	

Part IV- Self-Certification:

To be filled only if-

- (a) Name of the country in Part I is other than India and TIN or functional equivalent is not available, or
- (b) US person is mentioned as Yes in Part I, and TIN is not available

I confirm that I am neither a US person nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India.

Signature

Document Proof submitted (Pls tick document being submitted)

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Passport             | <input type="checkbox"/> Election Id Card | <input type="checkbox"/> PAN Card       |
| <input type="checkbox"/> Driving License      | <input type="checkbox"/> UIDAI Letter     | <input type="checkbox"/> NREGA Job Card |
| <input type="checkbox"/> Govt. Issued ID Card |   |   |